

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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## NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of Mailing  
(day/month/year)

**12 OCT 2004**

Applicant's or agent's file reference

SR0015PCT

☐ TO BE REVIEWED  
BY ATTORNEY

### IMPORTANT NOTIFICATION

International application No.

PCT/US03/22912

International filing date (day/month/year)

23 July 2003 (23.07.2003)

Priority date (day/month/year)

26 July 2002 (26.07.2002)

Applicant

E. I. DU. PONT DE NEMOURS AND COMPANY

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

**EEL NOTED**

*26 Jan 2005*

Name and mailing address of the IPEA/US

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*For*

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

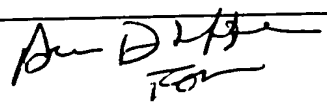
(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>SR0015PCT</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/US03/22912</b>	International filing date (day/month/year) <b>23 July 2003 (23.07.2003)</b>	Priority date (day/month/year) <b>26 July 2002 (26.07.2002)</b>
International Patent Classification (IPC) or national classification and IPC <b>IPC(7): C08F 114/18; G03F 7/038; G03F 7/004 and US Cl.: 526/250; 430/270.1; 430/325</b>		
Applicant <b>E. I. DU. PONT DE NEMOURS AND COMPANY</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 3 sheets, including this cover sheet.
- ☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).
- These annexes consist of a total of     sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of report with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand <b>19 February 2004 (19.02.2004)</b>	Date of completion of this report
Name and mailing address of the IPEA/US Mail Stop PCT, Attn: IPEA/ US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230	Authorized officer  David W. Wu Telephone No. (703) 308-2351

Form PCT/IPEA/409 (cover sheet)(July 1998)

## I. Basis of the report

1. With regard to the elements of the international application:\*

☒ the international application as originally filed.☒ the description:

pages 1-24 as originally filed

pages NONE, filed with the demand

pages NONE, filed with the letter of \_\_\_\_\_.

☒ the claims:

pages 25-29 as originally filed

pages NONE, as amended (together with any statement) under Article 19

pages NONE, filed with the demand

pages NONE, filed with the letter of \_\_\_\_\_.

☐ the drawings:

pages NONE as originally filed

pages NONE, filed with the demand

pages NONE, filed with the letter of \_\_\_\_\_.

☐ the sequence listing part of the description:

pages NONE as originally filed

pages NONE, filed with the demand

pages NONE, filed with the letter of \_\_\_\_\_.

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  
These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).☐ the language of publication of the international application (under Rule 48.3(b)).☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

☐ contained in the international application in printed form.☐ filed together with the international application in computer readable form.☐ furnished subsequently to this Authority in written form.☐ furnished subsequently to this Authority in computer readable form.☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.4. ☐ The amendments have resulted in the cancellation of:☐ the description, pages NONE☐ the claims, Nos. NONE☐ the drawings, sheets/fig NONE5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.  
PCT/US03/22912

## V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. STATEMENT

Novelty (N)

Claims 1-28

YES

Claims NONE

NO

Inventive Step (IS)

Claims NONE

YES

Claims 1-28

NO

Industrial Applicability (IA)

Claims 1-28

YES

Claims NONE

NO

### 2. CITATIONS AND EXPLANATIONS

Claims 1-28 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest the subject matter of the present invention.

Claim 1 relates to a fluorinated copolymer comprising repeating units from (a) a hydroxyfluoroalkyl-substituted olefin and (b) an acrylic monomer having hydroxyl- or polycyclic alcohol-substitution. Claim 22 relates to a photoresist composition comprising the fluorocopolymer of Claim 1 and a photoactive component.

Regarding the limitation of parent Claim 1, Middleton only discloses a method for the production of a fluorine-containing copolymer made from at least one from fluorolefin or acrylic monomer with a hydroxyfluoro-alkyl-substituted styrene compound. Middleton has used non-functionalized acrylates. Therefore, Middleton is silent with the use of a hydroxyl-containing acrylic monomer (b). In order to prepare resin for photoresist, Okino et al. has disclosed using hydroxyl-containing adamantyl acrylate, while Choi has disclosed using t-butyl hydroxymethacrylate. However, Okino and Choi, in combination or alone, in no way teach or fairly suggest the copolymerization of such specific acrylic monomer with the claimed monomer (a). In summary, the motivation to link monomers (a) with (b) is lacking.

Regarding the limitation of parent Claim 22, the above references, Middleton/Okino or Middleton/Choi, each are further silent about using the claimed cyclic or polycyclic monomer as well as using photo-active fluoroalcohol or protected fluoroalcohol groups. Although Goodall may teach all the limitations of Claims 14-28 (abstract, line 1-4; pages 8-20), Goodall cannot fix the deficiency of Middleton/Okino or Middleton/Choi. Therefore, the skill artisan would not have any way to make the obvious connection to add the claimed cyclic monomers as well as using photoactive fluoroalcohol or protected fluoroalcohol groups on the alkenes to prepare the claimed copolymers useful as a photo-resist material.

The key issue, regarding preparing a fluorinated copolymer comprising repeating units from (a) a hydroxyfluoroalkyl-substituted olefin and (b) an acrylic monomer having hydroxyl- or polycyclic alcohol-substitution, cannot be overcome by any or the combination of the above references, therefore, the present invention is novel.

In conclusion, it would not be obvious to a person skilled in the art to arrive at the claimed invention with knowledge from the cited documents. In accordance with the arguments above, the invention claimed in Claims 1-28 is novel; it is considered to involve an inventive step, and it is also considered to have industrial applicability.